



**KEYSIGHT**  
**WORLD 2019**

# 5G Network Equipment, Device Standards, and Conformance Tests

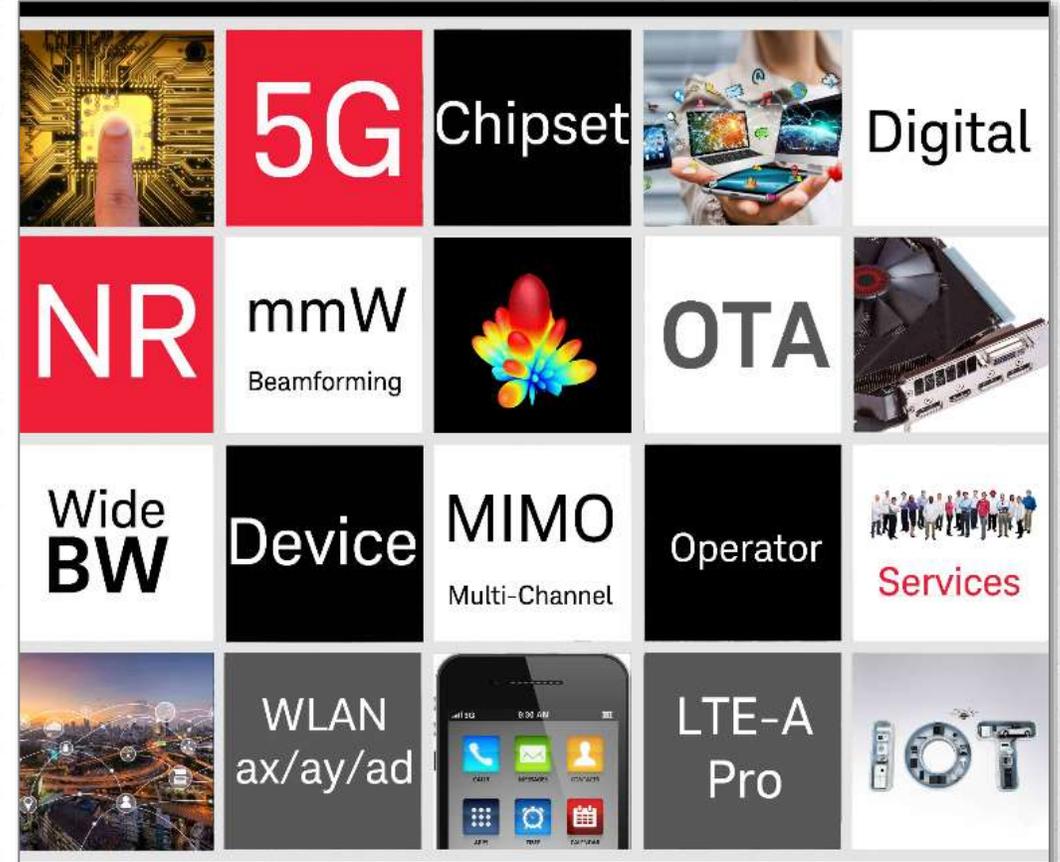
*Keysight Technologies*

*Alex Liang, Project Manager*



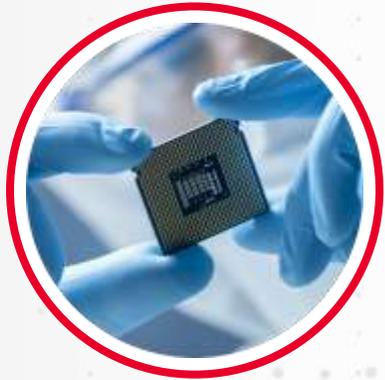
# Agenda

- 5G Ecosystem: Why Conform?
- 5G Standards and Test Requirements
- Base Station Conformance Tests
- Device Conformance and Carrier Acceptance Tests
- Considerations for Radiated Tests
- Summary, Q&A



# 5G Ecosystem

## COMPONENTS & CHIPSETS



Rolling out new designs for sub-6 GHz and mmWave operating bands

## DEVICE MANUFACTURERS



First fixed wireless access mmWave CPE introduced in 2018 and first smartphones to roll out in 2019

## NETWORK EQUIPMENT MANUFACTURERS



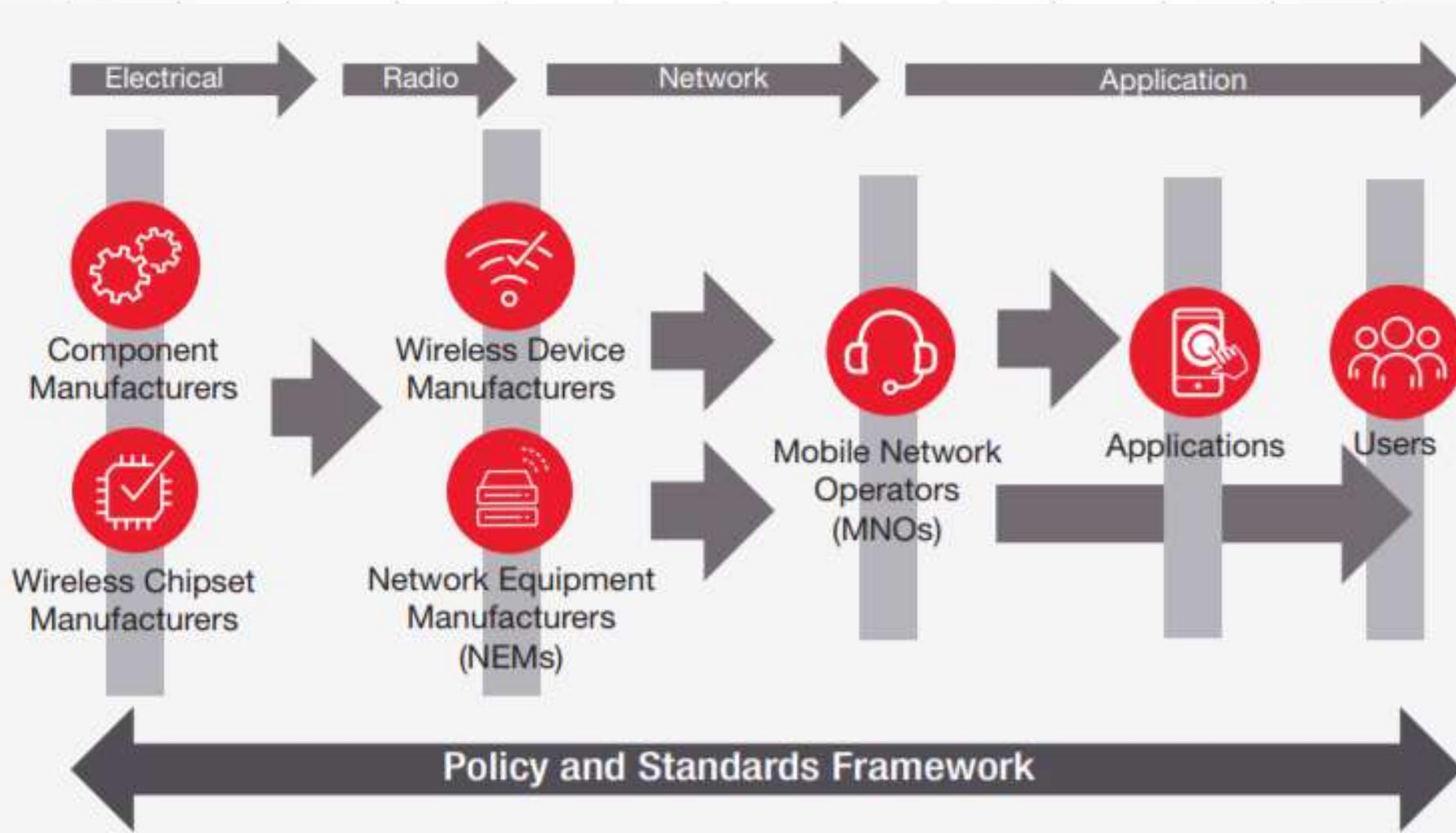
Upgrading existing infrastructure to address NR, massive MIMO, and mmWave operating bands

## MOBILE NETWORK OPERATORS



Accelerating trials and deployment in select cities

# 5G Ecosystem



Performance Requirements

Conformance Tests

Carrier Acceptance Tests

# 5G Standards and Test Requirements



# 3GPP Organization

Today's Focus

## RAN 5G NR Summary Reference Documents

<b><u>TSG RAN</u></b> Radio Access Network	Study Items for New Radio Access Technology	Resulting Specifications
<b>RAN WG1</b> Radio Layer 1 spec	<b>TR 38.802</b> Physical Layer Aspects	<b>TS 38.201 – TS 38.215</b>
<b>RAN WG2</b> Radio Layer 2 spec Radio Layer 3 RR spec	<b>TR 38.804</b> Radio Interface Protocol Aspects	<b>TS 38.300–TS 38.331</b>
<b>RAN WG3</b> lub spec, lur spec, lu spec UTRAN O&M requirements	<b>TR 38.801</b> Radio Access Architecture and Interface	<b>TS 38.401 – TS 38.474</b>
<b>RAN WG4</b> Radio Performance Protocol Aspects	<b>TR 38.803</b> RF and Coexistence aspects	<b>TS 38.101 – TS 38.173 (+38.307)</b>
<b>RAN WG5</b> Mobile Terminal Conformance Testing	<b>TR 38.80x</b>	<b>TS 38.508 – TS 38.533</b>

# 5G NR Operating Bands

Operating band	Uplink (UL) BS receive / UE transmit	Downlink (DL) BS transmit / UE receive	Duplex Mode
n1	1920 – 1980 MHz	2110 – 2170 MHz	FDD
n2	1850 – 1910 MHz	1930 – 1990 MHz	FDD
n3	1710 – 1785 MHz	1805 – 1880 MHz	FDD
n5	824 – 849 MHz	869 – 894 MHz	FDD
n7	2500 – 2570 MHz	2620 – 2690 MHz	FDD
n8	880 – 915 MHz	925 – 960 MHz	FDD
n12	699 – 716 MHz	729 – 746 MHz	FDD
n20	832 – 862 MHz	791 – 821 MHz	FDD
n25	1850 – 1915 MHz	1930 – 1995 MHz	FDD
n28	703 – 748 MHz	758 – 803 MHz	FDD
n34	2010 – 2025 MHz	2010 – 2025 MHz	TDD
n38	2570 – 2620 MHz	2570 – 2620 MHz	TDD
n39	1880 – 1920 MHz	1880 – 1920 MHz	TDD
n40	2300 – 2400 MHz	2300 – 2400 MHz	TDD
n41	2496 – 2690 MHz	2496 – 2690 MHz	TDD
n50	1432 – 1517 MHz	1432 – 1517 MHz	TDD
n51	1427 – 1432 MHz	1427 – 1432 MHz	TDD
n66	1710 – 1780 MHz	2110 – 2200 MHz	FDD
n70	1695 – 1710 MHz	1995 – 2020 MHz	FDD
n71	663 – 698 MHz	617 – 652 MHz	FDD
n74	1427 – 1470 MHz	1475 – 1518 MHz	FDD
n75	N/A	1432 – 1517 MHz	SDL
n76	N/A	1427 – 1432 MHz	SDL
n77	3300 – 4200 MHz	3300 – 4200 MHz	TDD
n78	3300 – 3800 MHz	3300 – 3800 MHz	TDD
n79	4400 – 5000 MHz	4400 – 5000 MHz	TDD
n80	1710 – 1785 MHz	N/A	SUL
n81	880 – 915 MHz	N/A	SUL
n82	832 – 862 MHz	N/A	SUL
n83	703 – 748 MHz	N/A	SUL
n84	1920 – 1980 MHz	N/A	SUL
n86	1710 – 1780MHz	N/A	SUL

**New: March 2019: RAN4 extended FR1 up to 7.125 GHz for unlicensed spectrum in U.S and Europe (Release-15)**

**Frequency Range 1 (FR1)**  
410 MHz to 7.125 GHz

**Frequency Range 2 (FR2)**  
24.25 GHz to 52.6 GHz

Operating band	Uplink (UL) BS receive / UE transmit	Downlink (DL) BS transmit / UE receive	Duplex Mode
n257	26.5 – 29.5 GHz	26.5 – 29.5 GHz	TDD
n258	24.25 – 27.5 GHz	24.25 – 27.5 GHz	TDD
n260	37 – 40 GHz	37 – 40 GHz	TDD
n261	27.5 – 28.35 GHz	27.5 – 28.35 GHz	TDD

Source: 3GPP TS 38.101-1/2, 38.104-1/2



# Base Station Conformance Tests

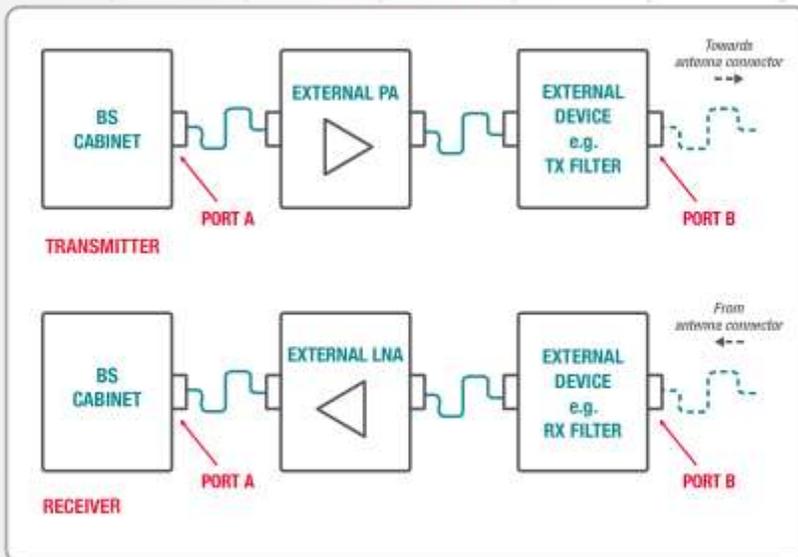


# Base Station Conformance Tests

- Base Station Classes
- Conducted vs Radiated Test

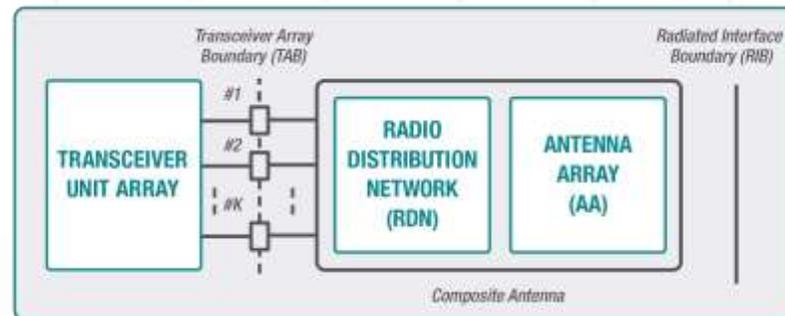
Type 1-C	Type 1-H	Type 1-0	Type 2-0
FR1	FR1	FR1	FR2
<b>Only Conducted</b> requirements defined at individual antenna connectors	<b>Conducted</b> requirements defined at individual TAB connectors or <b>OTA</b> requirements defined by RIB	<b>Only OTA</b> requirements defined at the RIB	<b>Only OTA</b> requirements defined at the RIB

**TYPE 1C**  
CONDUCTED



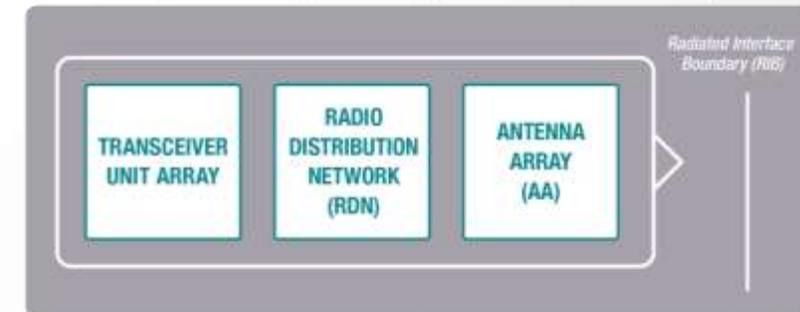
**TYPE 1H**

CONDUCTED AT TAB - OTA AT RIB



**TYPE 1-0 & 2-0**

OTA AT RIB



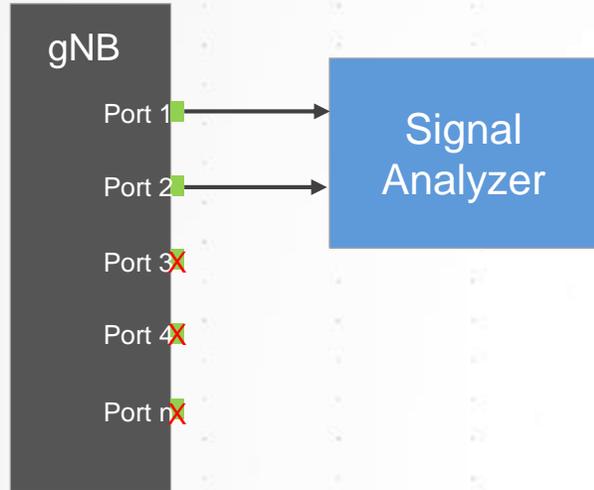
# Base Station Conformance Tests Summary – FR1 & FR2

## CONDUCTED & RADIATED CONFORMANCE TESTS

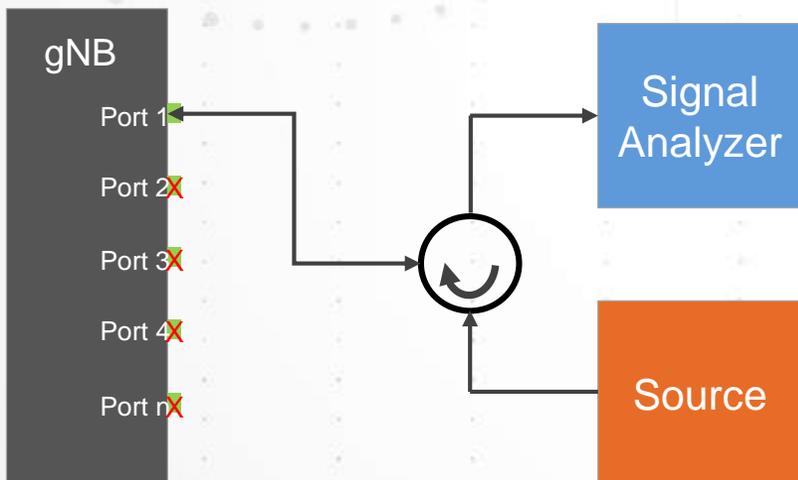
Transmitter Characteristics (chp 6)	Receiver Characteristics (chp 7)	Performance Requirements (chp 8)
<ul style="list-style-type: none"> <li>• <b>Transmit Power</b> (TRP, EIRP)</li> <li>• <b>Output Power Dynamics</b> (RE Power Control DR / Total Power DR / ...)</li> <li>• <b>Transmit On/Off Power</b> (TX Off Power / TX Transient Period)</li> <li>• <b>Signal Quality</b> (Freq Error / EVM / Time Alignment Error /...)</li> <li>• <b>Unwanted Emissions</b> (Occupied BW / ALCR / Spurious /...)</li> <li>• <b>Intermodulation</b> (Interference...)</li> </ul>	<ul style="list-style-type: none"> <li>• <b>Reference Sensitivity Level</b></li> <li>• <b>Dynamic Range</b></li> <li>• <b>In-Band Selectivity &amp; Blocking Characteristics</b> (Adjacent Channel Selectivity (ACS))</li> <li>• <b>Out-of-Band Blocking</b></li> <li>• <b>Spurious Emissions</b></li> <li>• <b>Intermodulation</b></li> <li>• <b>In-channel Selectivity</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Performance Requirements for PUSCH</b> <ul style="list-style-type: none"> <li>• Multipath fading propagation for given SNR</li> </ul> </li> <li>• <b>Performance Requirements for PUCCH</b> <ul style="list-style-type: none"> <li>• ACK missed detection</li> <li>• NACK to ACK detection</li> <li>• UCI BLER performance (format 2)</li> </ul> </li> <li>• <b>Performance Requirements for PRACH</b> <ul style="list-style-type: none"> <li>• False alarm probability and missed detection</li> </ul> </li> </ul>
<b>CURRENT STATUS March 2019</b>		
<ul style="list-style-type: none"> <li>• <b>Tx 6.5 OTA Tx on/off power and transient for FR2 still under discussion</b></li> <li>• <b>Test model for Tx test still under discussion</b></li> </ul>		<p><b>Receiver performance not complete – target June 2019</b></p>

# Examples gNB Conducted Conformance Test Setup

Transmitter Time Alignment Error Test Config (Chp 6)

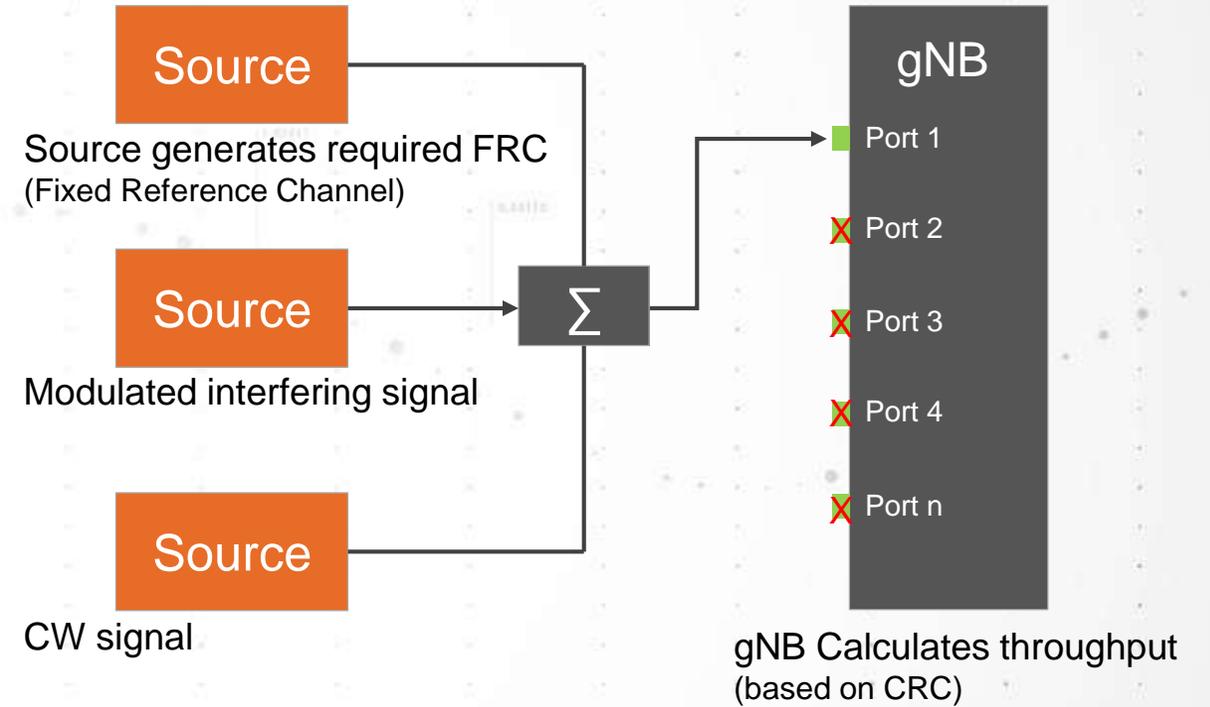


Transmitter Intermodulation Config (Chp 6)



Modulated interfering signal

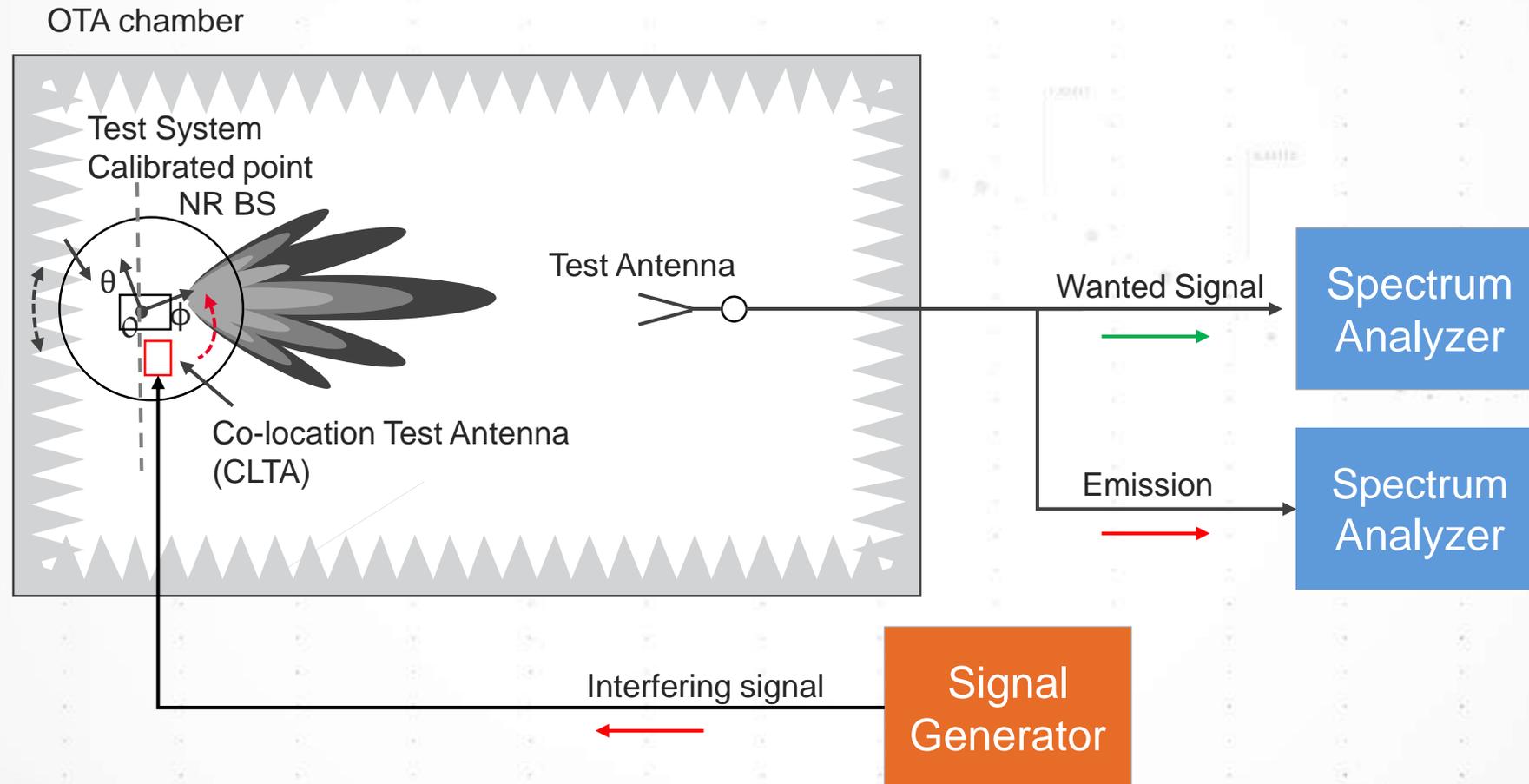
Receiver Intermodulation Tests (Chp 7)  
(Blocking & Selectivity tests similar)



TS 38.141-1  
BS Type 1-C

# Example: gNB Transmitter OTA Test Setup (Chap. 6)

## INTERMODULATION TEST, BS TYPE 1-0 & 2-0

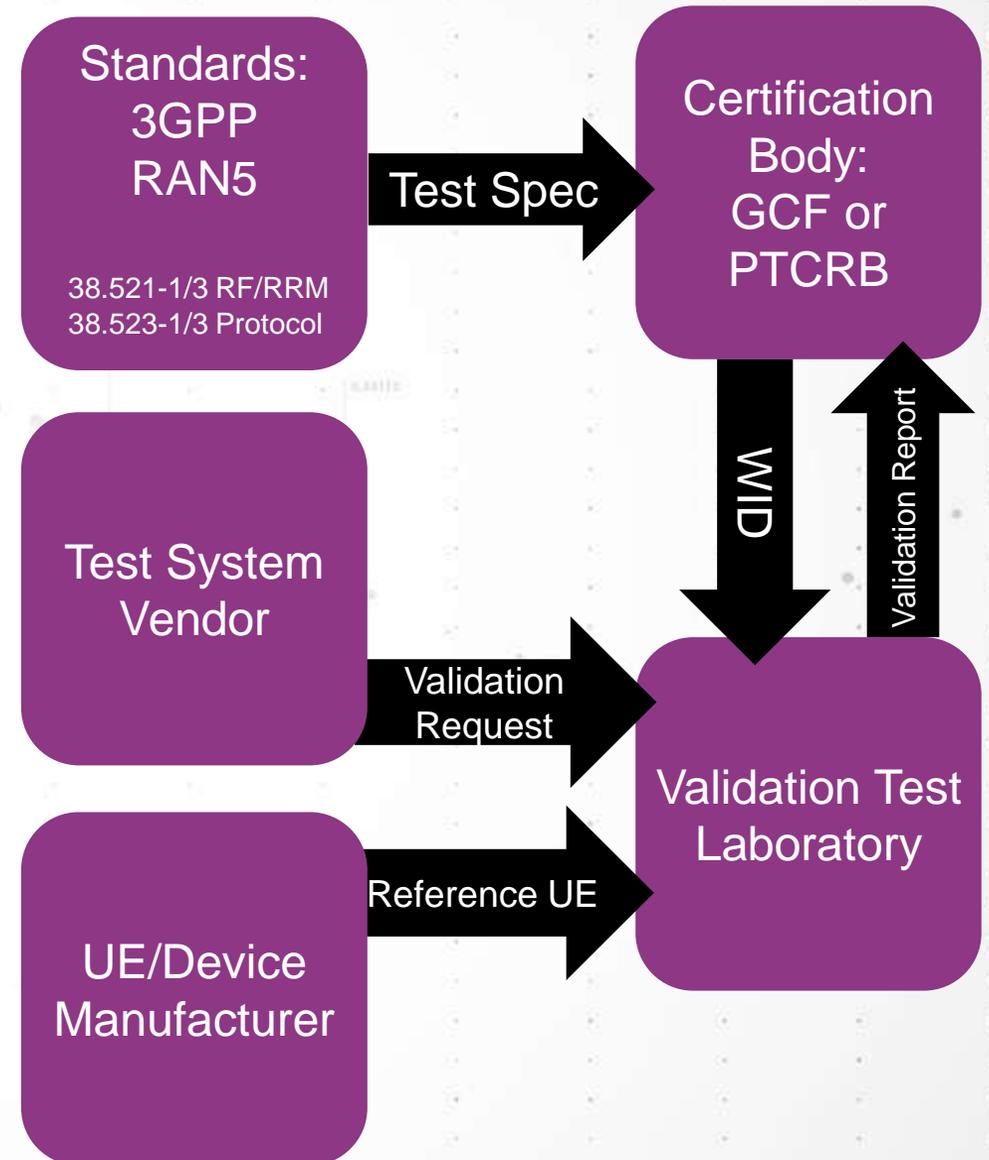


# Device Conformance and Operator Acceptance Tests



# Process to Ensure 5G UE Conformance

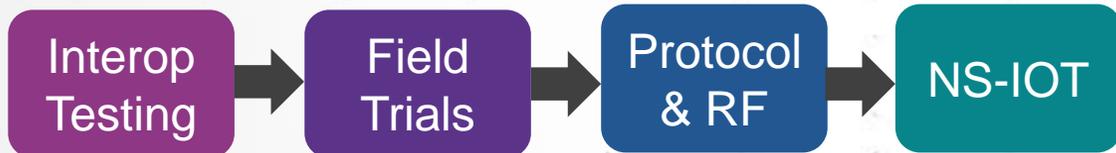
- Standards bodies, certification bodies, test equipment vendors and 5G NR device makers together ensure conformance to 5G specifications
- GCF and PTCRB certification organizations are ready to validate 5G conformance test cases and to start with the certification of the 5G devices



# Carrier Acceptance Tests

- Defined by operators
- Validated by operators

## Typical steps for devices



# UE Conformance Tests Summary – 1 of 2

FR1 Conducted Tests  
FR2 Radiated Test

## RADIO TRANSMISSION AND RECEPTION

Transmitter Characteristics	Receiver Characteristics	Interworking Operation	Performance
<ul style="list-style-type: none"> <li>• <b>Transmitter Power</b> (UE Max Output Power, Power Reduction, CA, SUL, UL-MIMO ...)</li> <li>• <b>Output Power Dynamics</b> (Min Power, Tx OFF Power, On/OFF Time Mask, Power Control)</li> <li>• <b>Signal Quality</b> (Freq Error / EVM / Carrier Leakage, In-Band Emissions, CA...)</li> <li>• <b>Spectrum Emissions</b> (Occupied BW / SEM/ ALCR / Spurious /... SUL, UL-MIMO)</li> <li>• <b>Tx Intermodulation (FR1)</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Reference Sensitivity Level</b> (Intra-band Contiguous, Non-Contiguous, Inter-Band, DC, SUL, UL-MIMO)</li> <li>• <b>Maximum Input level</b> (CA, UL-MIMO, Adjacent Channel Selectivity)</li> <li>• <b>Blocking Characteristics</b> (In-Band, Out-of-Band)</li> <li>• <b>Spurious Response</b></li> <li>• <b>Intermodulation Characteristics</b></li> <li>• <b>Spurious Emissions</b></li> <li>• <b>Rx Intermodulation (FR1)</b></li> </ul>	<p>Most of the same Tx and Rx characteristics tests under different carrier aggregation (CA) configuration between 5G NR frequency range 1 and 2 and non-standalone operations with E-UTRA (EN-DC)</p>	<p><b>Still being defined</b></p>
CURRENT STATUS March 2019			
<ul style="list-style-type: none"> <li>• <b>Partial Tx test done single carrier, CA later</b></li> <li>• <b>MOP, EIRP, TRP first completed FR2 test</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Very little Rx test done, single carrier, CA later</b></li> <li>• <b>No FR2 yet</b></li> </ul>	<ul style="list-style-type: none"> <li>• <b>Partial done for NSA opt 3 DC (some CA, but not complete yet)</b></li> </ul>	<p><b>1 test case close to 100% completed: 2Rx TDD perform – 2x2 MIMO</b></p>

# UE Conformance Tests Summary – 2 of 2

FR1 Conducted Tests  
FR2 Radiated Test

## RRM AND PROTOCOL

Protocol	RRM Test Coverage
<ul style="list-style-type: none"><li>• Protocol Idle Mode</li><li>• Layer 2<ul style="list-style-type: none"><li>• Random access procedures, DL data transfers, UL data transfers, transport size,</li></ul></li><li>• Protocol RRC procedures</li><li>• Mobility management</li><li>• Session management</li></ul>	<p>Ensures efficient use of the radio resources in standalone (FR1 &amp; FR2) and non-standalone (E-UTRA &amp; 5G NR interworking)</p> <ul style="list-style-type: none"><li>• EN-DC option 3 (NR PSCell in FR1)</li><li>• EN-DC option 3 (NR PSCell in FR2)</li><li>• SA option 2 (NR Pcell in FR1)</li><li>• SA option 2 (NR Pcell in FR2)</li></ul>
<b>CURRENT STATUS March 2019</b>	
<b>Mostly done SA opt 2 and NSA opt 3</b>	<b>Still Being Defined 2 tests are 100% completed</b>

# 3GPP 5G NR RF Specification and Test Standard

## CURRENT STATUS

Spec Number	Title	Current Version Mar-28/2019
38.521-1	NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone	15.2.0
38.521-2	NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone	15.2.0
38.521-3	NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios	15.2.0

# 38.521-1 Clause 6 Transmitter Characteristics

- 6.2 Transmitter power
  - 6.2.1 UE maximum output power
  - 6.2.2 UE maximum output power for modulation / channel bandwidth
  - 6.2.3 UE maximum output power with additional requirements
  - 6.2.4 Configured transmitted power
- 6.3 Output power dynamics
  - 6.3.1 Minimum output power
  - 6.3.2 Transmitter OFF power
  - 6.3.3 Transmit ON/OFF time mask
  - 6.3.4 Power Control
- 6.4 Transmit signal quality
  - 6.4.1 Frequency error
  - 6.4.2 Transmit modulation quality
    - 6.4.2.1 EVM
    - 6.4.2.2 Carrier leakage
    - 6.4.2.3 In-band emissions
    - 6.4.2.4 EVM equalizer spectrum flatness
- 6.5 Output RF spectrum emissions
  - 6.5.1 Occupied bandwidth
  - 6.5.2 Out of band emissions
    - 6.5.2.1 SEM
    - 6.5.2.2 Additional SEM
    - 6.5.2.3 ACLR

# 38.521-2 Clause 6 Transmitter Characteristics

- 6.2 Transmitter power
  - 6.2.1 UE maximum output power
  - 6.2.2 UE maximum output power for modulation / channel bandwidth
  - 6.2.3 UE maximum output power with additional requirements
  - 6.2.4 Configured transmitted power
- 6.3 Output power dynamics
  - 6.3.1 Minimum output power
  - 6.3.2 Transmitter OFF power
  - 6.3.3 Transmit ON/OFF time mask
  - 6.3.4 Power Control
- 6.4 Transmit signal quality
  - 6.4.1 Frequency error
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- 6.5 Output RF spectrum emissions
  - 6.5.1 Occupied bandwidth
  - 6.5.2 Out of band emissions
    - 6.5.2.1 SEM
    - 6.5.2.2 Additional SEM
    - 6.5.2.3 ACLR

# 38.521-2 Clause 6.2.1 UE Maximum Output Power

- Note : Power class 1, 2, 3, and 4 are specified based on the assumption of certain UE types with specific device architectures. The UE types can be found in Table 6.2.1-1.

Table 6.2.1-1: Assumption of UE Types

UE Power class	UE type
1	Fixed wireless access(FWA) UE
2	Vehicular UE
3	Handheld UE
4	High power non-handheld UE

# 38.521-2 Clause 6.2.1 UE Maximum Output Power

- The following requirements define the maximum output power radiated by the UE for any transmission bandwidth within the channel bandwidth for non-CA configuration, unless otherwise stated. The period of measurement shall be at least one sub frame (1ms). The requirement is verified with the test metric of EIRP (Link=Beam peak search grids, Meas=Link angle).

**Table 6.2.1.1-1: UE minimum peak EIRP for power class 1**

Operating band	Min peak EIRP (dBm)
n257	40.0
n258	40.0
n260	38.0
n261	40.0

**NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance**

**Table 6.2.1.2-1: UE minimum peak EIRP for power class 2**

Operating band	Min peak EIRP (dBm)
n257	29
n258	29
n261	29

**NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance**

**Table 6.2.1.3-1: UE minimum peak EIRP for power class 3**

Operating band	Min peak EIRP (dBm)
n257	22.4
n258	22.4
n260	20.6
n261	22.4

**NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance**

**Table 6.2.1.4-1: UE minimum peak EIRP for power class 4**

Operating band	Min peak EIRP (dBm)
n257	34
n258	34
n260	31
n261	34

**NOTE 1: Minimum peak EIRP is defined as the lower limit without tolerance**

# 38.521-2 Clause 6.2.1 UE Maximum Output Power

- The maximum output power values for TRP and EIRP are found in Table 6.2.1.1-2 below. The maximum allowed EIRP is derived from regulatory requirements [8]. The requirements are verified with the test metrics of TRP (Link=TX beam peak direction) in beam locked mode and EIRP (Link=TX beam peak direction, Meas=Link angle).

**Table 6.2.1.1-2: UE maximum output power limits for power class 1**

Operating band	Max TRP (dBm)	Max EIRP (dBm)
n257	35	55
n258	35	55
n260	35	55
n261	35	55

**Table 6.2.1.[2-4]-2: : UE maximum output power limits 2~4**

Operating band	Max TRP (dBm)	Max EIRP (dBm)
n257	23	43
n258	23	43
n260	23	43
n261	23	43

# 38.521-2 Clause 6.2.1 UE Maximum Output Power

- The following requirements define the maximum output power radiated by the UE for any transmission bandwidth within the channel bandwidth for non-CA configuration, unless otherwise stated. The period of measurement shall be at least one sub frame (1ms). The requirement is verified with the test metric of EIRP (Link=Beam peak search grids, Meas=Link angle).

**Table 6.2.1.1-3: UE spherical coverage for power class 1**

Operating band	Min EIRP at 85%-tile CDF (dBm)
n257	32.0
n258	32.0
n260	30.0
n261	32.0

**NOTE 1:** Minimum EIRP at 85%-tile CDF is defined as the lower limit without tolerance

**Table 6.2.1.2-3: UE spherical coverage for power class 2**

Operating band	Min EIRP at 60%-tile CDF (dBm)
n257	18.0
n258	18.0
n261	18.0

**NOTE 1:** Minimum EIRP at 60%-tile CDF is defined as the lower limit without tolerance

**Table 6.2.1.3-3: UE spherical coverage for power class 3**

Operating band	Min EIRP at 50%-tile CDF (dBm)
n257	11.5
n258	11.5
n260	8
n261	11.5

**NOTE 1:** Minimum EIRP at 50%-tile CDF is defined as the lower limit without tolerance

**Table 6.2.1.4-3: UE spherical coverage for power class 4**

Operating band	Min EIRP at 20%-tile CDF (dBm)
n257	25
n258	25
n260	19
n261	25

**NOTE 1:** Minimum EIRP at 20%-tile CDF is defined as the lower limit without tolerance

## 38.521-3 6.1 General

- Unless otherwise stated the transmitter characteristics are specified at the antenna connector(s) of the UE for the bands operating on frequency range 1 and over the air of the UE for the bands operating on frequency range 2.
- The requirements for frequency range 1 and frequency range 2 can be verified separately.
- For the carrier in frequency range 1, requirements can be verified with NR FR2 link disabled.
- For the carrier in frequency range 2, requirements can be verified in OTA mode with LTE connecting to the network by OTA without calibration.

# Considerations for Radiated Tests



# Paradigm Shift in Test

RADIATED TEST MOVES TO THE MAINSTREAM

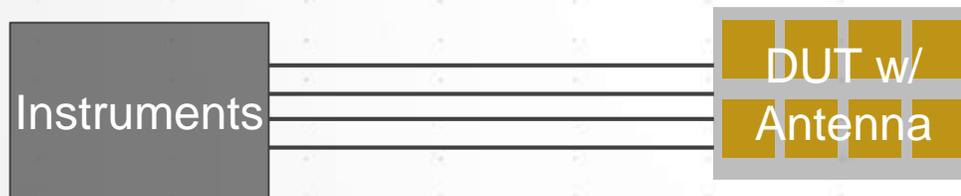
Discrete components, cabled, easy to test



mmWave DUTs now all integrated, no probing connectors, harder to test



Connected Test Setup: Preferred for nearly all LTE and NR FR1 Tests

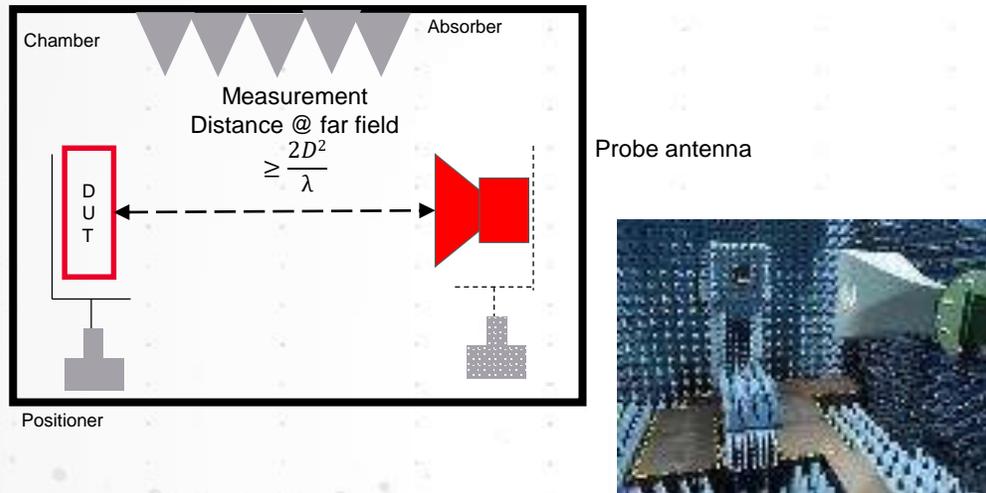


Radiated tests mandatory for ALL FR2



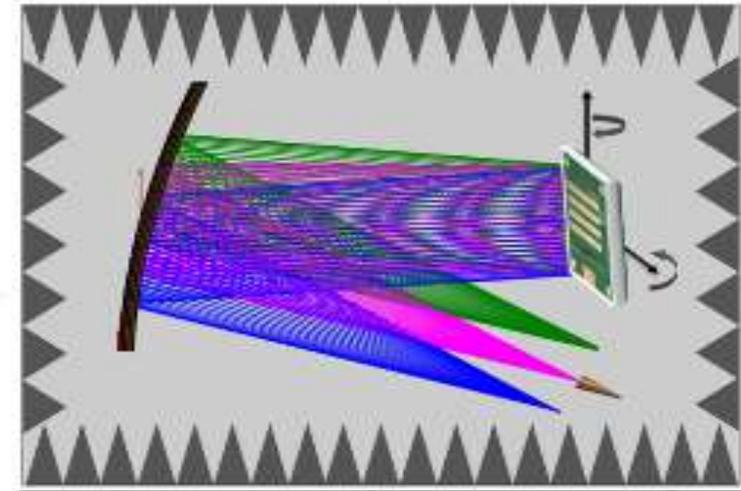
# Radiated Test Methods for FR2 Conformance

## Direct Far Field



- ✓ Antenna beam pattern characterization
- ✓ Beamforming/beamsteering validation
- ✓ RF parametric tests (if S/N high enough)
- ✗ Subject to higher path loss
- ✗ Large chambers at mmWave frequencies

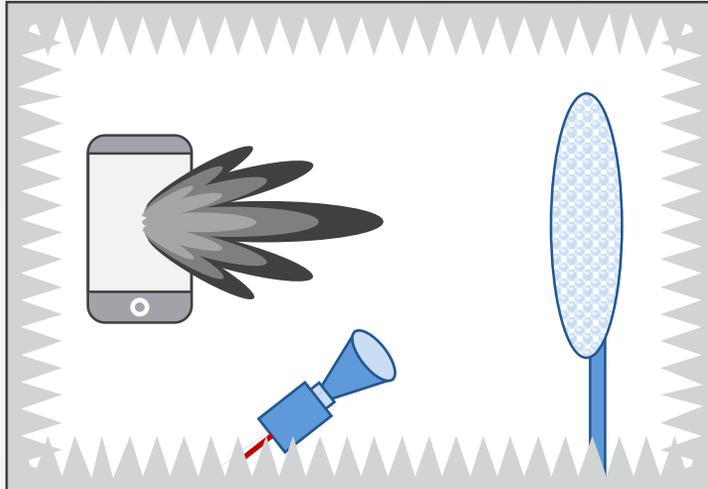
## Indirect Far Field



- ✓ Antenna Beam pattern characterization
- ✓ Beamforming/steering validation
- ✓ RF parametric tests
- ✓ Small footprint, lowest path loss
- ✗ Rx spatial field generation not defined

# Different Chambers for Different Tests

Compact Antenna Test Range



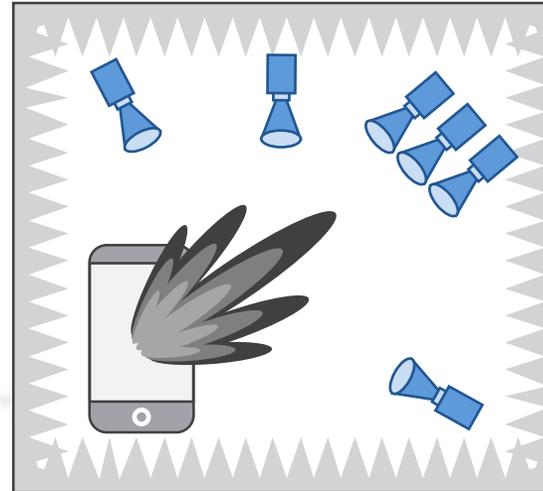
Indirect Far-Field

**RF Conformance**

**Frequency:** FR2 24 – 52 GHz (in-band), 6 - 110 GHz (out-of-band)

**Target Devices:** Antennas/ modules, phone, tablets, small gNB

Spatial Multiprobe Anechoic Chamber



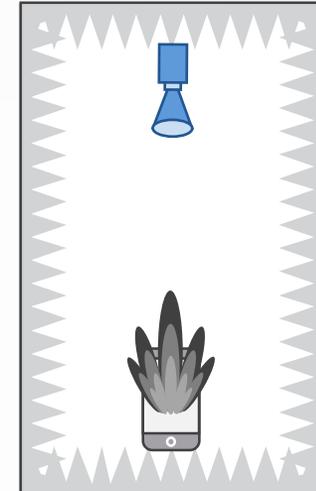
Direct Far-Field

**RRM for Multi-AoA**  
**NR-MIMO**

**Frequency:** FR2 24 - 44 GHz

**Target Devices:** Modules, phones, phablets, mobile test platforms

Single AoA DFF



Direct Far-Field

**Protocol Test With Single AoA**

**Frequency:** FR2 24 - 44 GHz

**Target Devices:** Modules, phones, phablets, mobile test platforms

# Summary



# Summary

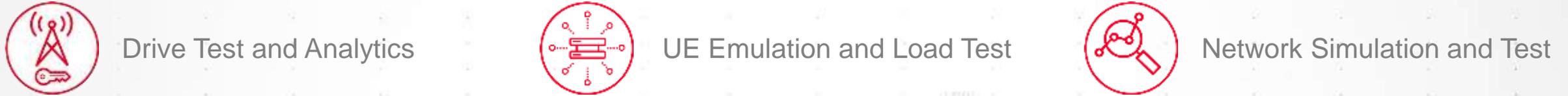
## UNDERSTANDING THE ROAD AHEAD

- **Higher frequencies, wider bandwidths, dual connectivity, increased # test cases, increased test times, and OTA all increase test complexity**
- **Conformance test methods are not complete –many challenges ahead**
- **Standards continue to evolve. Release-16 is due mid 2020 and early work on Release-17 has begun.**



# Keysight 5G Solutions for All Parts of the Ecosystem

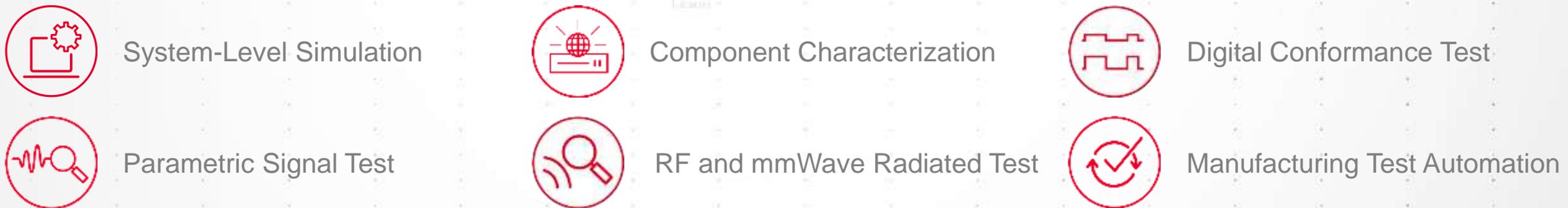
## 5G Network Test

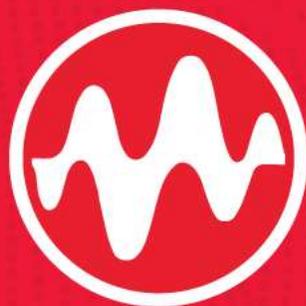


## 5G Signaling Validation Test



## Physical Layer Design and Test Solutions





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